

11-10-24

254

Step 1 Automorphisms of \mathbb{D} are unique

For the automorphisms of \mathbb{D} we have

Thm 2.2 If $f: \mathbb{D} \rightarrow \mathbb{D}$ is an automorphism of \mathbb{D} , then $\exists \theta \in \mathbb{R}$, and $\alpha \in \mathbb{D}$ s.t.

$$f(z) = e^{i\theta} \frac{\alpha - z}{1 - \bar{\alpha}z}$$

Then $f(0) = e^{i\theta} \alpha$, $f'(0) = e^{i\theta} (|\alpha|^2 - 1)$

Conversely all maps of this form are autom of \mathbb{D} .

Remark (1) Note an immediate corollary of Thm 2.2 is that only autom of \mathbb{D} that fixes 0 are rotations. Since

$$f(0) = e^{i\theta} \alpha = 0 \Rightarrow \alpha = 0$$

$$\Rightarrow f(z) = -e^{i\theta} z = e^{i\tilde{\theta}} z \text{ for some } \tilde{\theta}$$

(2) This thm is enough to prove the uniqueness of conformal equiv.

$$f: \mathbb{D} \rightarrow \mathbb{D}$$

if f_1, f_2 are 2 such maps then with $f_1(z_0) = f_2(z_0) = 0$, $f_1'(z_0), f_2'(z_0) \neq 0$

then $g = f_2 \circ f_1^{-1} = \mathbb{D} \rightarrow \mathbb{D}$ autom of \mathbb{D}

Step 1 Automorphisms of \mathbb{D} and uniqueness
 For the autom. of \mathbb{D} we have the following theorem

Thm 2.2 If $f: \mathbb{D} \rightarrow \mathbb{D}$ is an automorphism of \mathbb{D} , then $\exists \theta \in \mathbb{R}$, and $\alpha \in \mathbb{D}$ such that

$$f(z) = e^{i\theta} \frac{\alpha - z}{1 - \bar{\alpha}z} \quad \forall z \in \mathbb{D} = \mathbb{D}_1(0).$$

Moreover $f(0) = e^{i\theta} \alpha$, $f'(0) = e^{i\theta} (|\alpha|^2 - 1)$

Conversely all maps of this form are autom. of \mathbb{D} .

Remark An immediate corollary of Thm 2.2 is that the only autom.s of \mathbb{D} which fixes 0 are rotations. Indeed if

$$f(0) = e^{i\theta} \alpha = 0, \text{ then } \alpha = 0 \text{ and } f(z) = -e^{i\theta} z = e^{i\tilde{\theta}} z \text{ for some } \tilde{\theta}$$

Another immediate corollary is the uniqueness statement in Riemann's thm.

Cor Let Ω be a proper simply connected domain in \mathbb{C} . Let $f_1, f_2: \Omega \rightarrow \mathbb{D}$ 2 conformal equivalences, $z_0 \in \Omega'$ s.t. $f_1(z_0) = 0$ and $f_1'(z_0) > 0$, $i=1, 2$
 then $f_1 = f_2$

Proof let $g = f_2 \circ f_1^{-1}$. Then

$g: D \rightarrow D$ is an autom of D . (255)

Hence $g = e^{i\theta} \frac{\alpha - z}{1 - \bar{\alpha}z}$ for some $\theta \in \mathbb{R}$ and $\alpha \in D$.

Since $f_1(z_0) = 0$, $f_2(z_0) = 0$

$g(0) = 0$, so $\alpha = 0$ and

$g(z) = -e^{i\theta} z$ for $z \in D$ and $g'(z) = -e^{i\theta}$

Then $-e^{i\theta} = g'(0) = f_2'(f_1^{-1}(0)) \cdot (f_1^{-1})'(0)$

$$= f_2'(z_0) \cdot \frac{1}{f_1'(z_0)}$$

Hence $\frac{f_2'(z_0)}{f_1'(z_0)} = -e^{i\theta} > 0$ (since $f_1'(z_0) > 0$)

$\Rightarrow -e^{i\theta}$ is a positive real number

$\Rightarrow \theta = \pi + 2\pi k$, $e^{i\theta} = -1$

Since we also have that $\alpha = 0$

$\therefore g(z) = z \Rightarrow f_1 = f_2$ \square

The proof of Thm 2.2 uses a simple but important lemma.

Lemma 2.1 (Schwarz's Lemma).

Let $f: \mathbb{D} \rightarrow \mathbb{D}$ be holomorphic with $f(0) = 0$.
Then

(a) $|f(z)| \leq |z| \quad \forall z \in \mathbb{D}$

(b) If for some $z_0 \neq 0$, we have $|f(z_0)| = |z_0|$ then f is a rotation.

(c) $|f'(0)| \leq 1$ and equality holds if and only if f is a rotation. i.e. $\exists \theta \in \mathbb{R}$ s.t. $f(z) = e^{i\theta} z$.

Remark 1 Parts (b) and (c) gives conditions on f so that up to a rotation f is the identity map.

Since we assume $f(0) = 0$, the condition in (b) about $|f(z_0)|$ is true for $z_0 = 0$ and we cannot conclude from it that f is a rotation.

(c) is the condition at 0 that is necessary to conclude f is a rotation (i.e. $|f'(0)| = 1$)

(2) This lemma is once again a statement for holom. functions. One cannot conclude for a differentiable function any of (a), (b), (c)
eg $f: (-1, 1) \rightarrow (-1, 1)$ $f(x) = \sin(\frac{\pi x}{2})$, $f(0) = 0$
but $f'(0) = \pi/2 \in (1, 2)$

Proof (Schwarz's Lemma) It is a consequence of maximum modulus principle

(a) $f(0) = 0 \Rightarrow \text{ord}_0 f \geq 1$, we can

define $g(z) = \frac{f(z)}{z}$ for $z \in D_1(0)$

Since $\text{ord}_0 f \geq 1$ and $\text{ord}_0 z = 1$, in fact g has a removable singularity at $z=0$. So

$g \in \mathcal{H}(D)$. Fix $z \in D$

let $0 \leq |z| < r < 1$. For $|w| = r$ we have

$$|g(z)| \leq \max_{|w|=r} |g(w)| = \frac{1}{r} \max_{|w|=r} |f(w)| \leq \frac{1}{r} \quad \text{since } |f(w)| < 1$$

By maximum modulus principle
 $|g(z)| \leq \frac{1}{r} \quad \forall z \in \overline{D_r(0)}$

(holomorphic function g cannot attain a maximum inside $D(0)$).

Since this is true $\forall z$, $|z| < r < 1$.
 letting $r \rightarrow 1$, it follows that

$$|g(z)| \leq 1 \quad \text{and hence}$$

$$|f(z)| \leq |z| \quad \forall z \in D_1(0)$$

(b) Part (a) gives $\sup_{z \in D_1(0)} |g(z)| \leq 1$

But the assumption $|f(z_0)| = |z_0|$ for some $0 \neq z_0 \in D$ then says g has a local maximum at $z_0 \in D$. By maximum modulus principle

this can only happen if g is constant

Hence $\exists c \in \mathbb{C}$ st $f(z) = zg(z) = cz$

$\forall z \in D_1(0)$. Since $|f(z_0)| = |z_0|$, $|c| = 1$

Hence $f(z) = e^{i\theta} z$ for some $\theta \in \mathbb{R}$.

(c) $g(0) = \lim_{z \rightarrow 0} \frac{f(z)}{z} = \lim_{z \rightarrow 0} \frac{f(z) - f(0)}{z - 0} = f'(0)$

Hence $|f'(0)| = |g(0)| \leq 1$

\Downarrow $|f'(0)| = 1$, then again 0 is a local maximum of g and we conclude as in (b) to get $f(z) = e^{i\theta} z$ for some θ

We can now give the proof of classification of autom. of \mathbb{D} .

Proof of Thm 2.2.

First note that any function φ_α of the form $\varphi_\alpha(z) = \frac{\alpha - z}{1 - \bar{\alpha}z}$ for $\alpha \in \mathbb{C}$ with $|\alpha| < 1$

is an autom. of \mathbb{D} .

Since ① Since $|\alpha| < 1$, $1 - \bar{\alpha}z \neq 0$ for $|z| < 1$

so $\varphi_\alpha \in \mathcal{H}(\mathbb{D})$.

② φ_α is injective: $\varphi_\alpha(z) = \varphi_\alpha(w) \Leftrightarrow$

$$\frac{\alpha - z}{1 - \bar{\alpha}z} = \frac{\alpha - w}{1 - \bar{\alpha}w} \Leftrightarrow \alpha - |\alpha|^2 w - z + \bar{\alpha}zw = \alpha - |\alpha|^2 z - w + \bar{\alpha}zw$$

$$\Leftrightarrow (1 - |\alpha|^2)z = (1 - |\alpha|^2)w \Leftrightarrow z = w$$

Hence φ_α is a conformal map $\varphi_\alpha: \mathbb{D} \rightarrow \mathbb{C}$

③ $\varphi_\alpha(\mathbb{D}) \subset \mathbb{D}$: If $|z| = 1$ then $z = e^{i\theta}$

$$\begin{aligned} \varphi_\alpha(e^{i\theta}) &= \frac{\alpha - e^{i\theta}}{e^{i\theta}(e^{-i\theta} - \bar{\alpha})} = e^{-i\theta} \left(\frac{\alpha - e^{i\theta}}{e^{-i\theta} - \bar{\alpha}} \right) \\ &= e^{-i\theta} \frac{w}{-\bar{w}} \end{aligned}$$

with $w = \alpha - e^{i\theta}$

$$\text{Hence } |\varphi_\alpha(e^{i\theta})| = \left| e^{-i\theta} \frac{\alpha - e^{i\theta}}{-\bar{\alpha}} \right| = 1$$

By max. modulus principle $|\varphi_\alpha(z)| < 1 \quad \forall z \in \mathbb{D}$

(Since $\varphi_\alpha(z)$ is not the constant map, it cannot have a local max. inside \mathbb{D} .)

$$\begin{aligned} \textcircled{4} \quad (\varphi_\alpha \circ \varphi_\alpha)(z) &= \alpha - \frac{\alpha - z}{1 - \bar{\alpha}z} = \frac{\alpha - |\alpha|^2 z - \alpha + z}{1 - \bar{\alpha} \left(\frac{\alpha - z}{1 - \bar{\alpha}z} \right)} = \frac{\alpha - |\alpha|^2 z - \alpha + z}{1 - \bar{\alpha}z - |\alpha|^2 + \bar{\alpha}z} \\ &= \frac{(1 - |\alpha|^2)z}{1 - |\alpha|^2} = z. \end{aligned}$$

Hence φ_α is its own inverse.

Clearly any rotation $R(z) = e^{i\theta} z$ is also an automorphism of \mathbb{D} .

Hence $(R \circ \varphi_\alpha)(z) = e^{i\theta} \frac{\alpha - z}{1 - \bar{\alpha}z}$ is an automorphism of \mathbb{D} .

Now let f be any automorphism of \mathbb{D} .
Then \exists a unique $\alpha \in \mathbb{D}$ s.t. $f(\alpha) = 0$