

## Applications of Residue thm

The first application is called the argument principle. It uses the residue theorem applied to  $f'/f$ , the logarithmic derivative of  $f$  to count the zeroes and poles of  $f$  inside a curve.

To this end we note the following simple Lemma:

Lemma: Let  $\Omega \subset \mathbb{C}$  open, and connected  
 $f \in \mathcal{H}(\Omega)$ ,  $f \neq 0$ . Then  $f'/f$ , the "logarithmic derivative" of  $f$ , is also meromorphic in  $\Omega$ . And  $f'/f$  has 1 poles of order 1 at  $z_0 \in \Omega$  for which  $\text{ord}_{z_0} f \neq 0$ . ie either  $z_0$  is a zero or a pole of  $f$ . The residue of  $f'/f$  at  $z_0$  is equal to the  $\text{ord}_{z_0} f$ .

Proof: Since  $f \neq 0$ ,  $\Omega$  open connected zeroes of  $f$  do not have a limit point in  $\Omega$ , and  $1/f \in \mathcal{H}(\Omega)$ .

Clearly  $f' \in \mathcal{H}(\Omega \setminus S_f)$  where  $S_f$  is the set of poles of  $f$ .

If  $z_0 \in S_f$  a pole of order  $n$  of  $f$  then 178

$$f(z) = (z - z_0)^{-n} h(z) \quad \forall z \in D_r^*(z_0)$$

where  $h \in \mathcal{O}(D_r(z_0))$  and  $h(z_0) \neq 0$ .  
Then for  $z \in D_r^*(z_0)$  we have

$$\begin{aligned} f'(z) &= \frac{-n}{(z - z_0)^{n+1}} h(z) + \frac{h'(z)}{(z - z_0)^n} \\ &= \underbrace{\left[ h'(z)(z - z_0) - n h(z) \right]}_{:= \tilde{h}(z)} (z - z_0)^{-(n+1)} \end{aligned}$$

$$\tilde{h}(z) \in \mathcal{O}(D_r(z_0)) \quad \text{and} \quad \tilde{h}(z_0) = -n h(z_0) \neq 0$$

Hence for  $\forall z \in D_r^*(z_0)$

$$f'(z) = (z - z_0)^{-(n+1)} \tilde{h}(z) \quad \text{Hence}$$

$f'$  has a pole of order  $n+1$  at  $z_0$ .

(Similarly if  $f$  has a zero of order  $n$  at  $z_0$ )  
(then  $f$  has a zero of order  $n-1$  at  $z_0$ )

Hence  $f' \in \mathcal{U}(\mathcal{U}_2)$  and so is  $f'/f$

$$\text{For any } z \in \mathcal{U}_2, \quad \text{ord}_{z_0}(f'/f) = \text{ord}_{z_0} f' - \text{ord}_{z_0} f$$

$$= \begin{cases} -(n+1) - n = -1 & \text{if } z_0 \text{ is a pole of } f \text{ of order } n \\ (n-1) - n = -1 & \text{if } z_0 \text{ is a zero of } f \text{ of order } n \\ \geq 0 & \text{otherwise} \end{cases}$$

Hence  $f'/f$  has a pole of order 1 at the points where  $\text{ord}_{z_0} f \neq 0$ .

We can also calculate the residue using

$$f(z) = (z - z_0)^n g(z) \quad \forall z \in D_r^*(z_0)$$

where  $g(z) \in \mathcal{H}(D_r(z_0))$ ,  $g(z) \neq 0, \forall z \in D_r(z_0)$ ,  
 where  $n = \text{ord}_{z_0} f$

Hence  $n > 0$  if  $z_0$  is a zero  
 $n < 0$  if  $z_0$  is a pole of  $f$ .

$$f'(z) = n(z - z_0)^{n-1} g(z) + (z - z_0)^n g'(z)$$

and  $\frac{f'}{f} = \frac{n}{(z - z_0)} + \underbrace{\frac{g'(z)}{g(z)}}_{\in \mathcal{H}(D_r(z_0))} \quad \forall z \in D_r(z_0)$

Hence

$$\boxed{\text{Res}_{z_0} \left( \frac{f'}{f} \right) = n = \text{ord}_{z_0} f}$$

This lemma immediately gives using the residue thm,

Thm 4.1 (Argument principle) let  $\Omega \subset \mathbb{C}$   
 $\gamma \subset \mathbb{C}$ , a circle (or any curve s.t. the residue  
 formula holds)  $\oint_{\gamma} f \in H(\Omega)$   
 if  $f$  has no zeroes or poles on  $\gamma$   
 then

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'}{f} dz = \sum_{z_0 \in Z_f \cap (\text{int } \gamma)} \text{ord}_{z_0} f + \sum_{z_0 \in S_f \cap (\text{int } \gamma)} \text{ord}_{z_0} f$$

where  $Z_f =$  the set of zeroes of  $f$   
 $S_f =$  " " " poles of  $f$ .

Proof. This follows from previous lemma and  $\text{Res}_{z_0} \left( \frac{f'}{f} \right) = \text{ord}_{z_0} f$

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'}{f} dz = \sum_{z_i \in \text{int } \gamma} \text{Res}_{z_i} \left( \frac{f'}{f} \right) = Z - P$$

$Z =$  # of zeroes of  $f$  inside  $\gamma$   
 counted w/ multiplicity

$P =$  # of poles of  $f$  inside  $\gamma$   
 counted w/ multiplicity.

Cor let  $f \in \mathbb{C}[z]$  a polynomial  
 Choose  $R > 0$  large enough so that  
 all zeroes of  $f$  are inside  $D_R(0)$

$$\text{Then } \int_{C_R(0)} \frac{f'(z)}{f(z)} = \deg f$$

We have the following corollary of the Argument principle, which says a holom function when perturbed slightly, it doesn't change its # of zeroes.

Thm 4.3 (Rouche's thm)

Suppose  $f, g$  are holomorphic in an open set  $\Omega$  which contains a circle  $C$  and its interior. If

$$|f(z)| > |g(z)| \quad \forall z \in C$$

then  $f, f+g$  have the same number of zeroes inside  $C$

Proof For  $t \in [0, 1]$  define

$$f_t(z) = f(z) + tg(z) \quad \text{so that}$$

$$f_0(z) = f(z), \quad f_1(z) = f + g. \quad \text{Note for } z \in C$$

$$\text{Note } |f_t(z)| = |f(z) + tg(z)|$$

$$\geq ||f(z)| - t|g(z)||$$

$$|f_t(z)| \geq | |f(z)| - t|g(z)|| \quad ( |f| > |g| \text{ on } C \text{ and } t \leq 1 )$$

$$> (1-t)|g(z)| \geq 0$$

Hence  $|f_t(z)| > 0$  for  $z \in C$ , in particular

$f_t(z)$  has no zeroes on  $C$  and then

by argument principle 
$$n_t = \frac{1}{2\pi i} \int_C \frac{f_t'(z)}{f_t(z)} dz$$

where  $n_t$  is the number of zeroes of  $f_t$  in  $C$ .

Now note  $n_t$  is a continuous function of  $t$  since  $f_t'(z)/f_t(z)$  is jointly

continuous for  $t \in (0, 1]$  and  $z \in C$ , since both  $f_t'(z)$ ,  $f_t(z)$  are jointly continuous and  $f_t(z) \neq 0$  on  $C$ .

(Recall from real analysis:

$$f: [a, b] \times [c, d] \rightarrow \mathbb{R} \text{ continuous on } [a, b] \times [c, d]$$

then

$$h(t) = \int_c^d f(t, x) dx \text{ is cont. on } [a, b]$$

But  $n_t$  is also integer valued. Hence it must be a constant.

(otherwise the intermediate value thm gives the existence of  $t_0 \in (0,1]$  s.t.  $n_{t_0}$  is not integral.)

In particular  $n_0 = n_1$  and

Hence 
$$n_0 = \frac{1}{2\pi i} \int_C \frac{f'}{f} dz = n_1 = \frac{1}{2\pi i} \int_C \frac{(f+g)'}{f+g} dz$$

□

Example Use Rouché's thm to show that the # of zeroes of the polynomial

$$p(z) = z^6 + 8z^4 + z^3 + 2z + 3$$
 inside the unit circle is 4.

We need to express  $p(z) = \text{Big} + \text{small}$

on  $|z|=1$ . In this case  $\text{Big} = 8z^4 = f$

$$\text{small} = z^6 + z^3 + 2z + 3 = g$$

Note 
$$g(z) = |z^6 + z^3 + 2z + 3| < |8z^4| \text{ on } C$$

Since for  $|z|=1$ , 
$$\begin{aligned} |z^6 + z^3 + 2z + 3| &< |z|^6 + |z|^3 \\ &\quad + 2|z| + 3 \\ &= 1 + 1 + 2 + 3 \\ &= 7 < 8 \\ &= 8|z|^4 \end{aligned}$$

hence by Rouché's thm

$8z^4 = f$  and  $f+g = p(z)$  have the same number of zeroes inside the unit circle. Since  $8z^4$  has 4 zeroes so does  $p$ .

□

Example Rouché's thm also gives a simple proof of fund. thm of algebra

$$\text{Let } p(z) = z^d + a_{d-1}z^{d-1} + \dots + a_0$$

For  $|z|$  large enough the term  $z^d$  dominates

$$\text{Take } f(z) = z^d, \quad g(z) = a_{d-1}z^{d-1} + \dots + a_0$$

Then  $|f(z)| > |g(z)|$  on  $|z|=R$

and hence  $p(z) = f+g$  and  $f = z^d$  has same number of zeroes inside  $|z|=R$ . Since  $f$  has  $d$  zeroes so does  $p$ . □