

## Exercise Sheet 4

1. Let  $K$  be a number field of degree  $n = [K : \mathbb{Q}]$ . For  $x \in K$ , the *norm* of  $x$ , denoted  $N(x)$ , is defined to be the determinant of the  $\mathbb{Q}$ -linear map  $m_x : K \rightarrow K$  defined by  $m_x(y) = xy$ . (Note that  $N(x)$  is not necessarily  $\geq 0$ , even when  $K = \mathbb{Q}$ .)

1. For  $K = \mathbb{Q}(\sqrt{d})$ , compute  $N(a + b\sqrt{d})$  as a function of the rational numbers  $a$  and  $b$ .

Solution: Assume throughout that  $d$  is not a square, so that  $K \neq \mathbb{Q}$ . Consider the  $\mathbb{Q}$ -basis  $\{1, \sqrt{d}\}$  of  $K$ . In this basis, multiplication by  $a + b\sqrt{d}$  is given by the matrix

$$\begin{bmatrix} a & bd \\ b & a \end{bmatrix},$$

which has determinant  $a^2 - db^2$ . Thus  $N(a + b\sqrt{d}) = a^2 - db^2$ .

2. Show that  $N$  defines a group homomorphism  $K^\times \rightarrow \mathbb{Q}^\times$ .

Solution: Note first that  $N(x) \in \mathbb{Q}$  for all  $x \in K$ . Moreover, if  $x = a + b\sqrt{d}$  and  $N(x) = 0$ , then  $a^2 = db^2$ . Since  $d$  is not a square,  $a$  and  $b$  must both be 0, so that  $x = 0$ . Thus the norm defines a function  $N : K^\times \rightarrow \mathbb{Q}^\times$ .

It remains to show that this function is a group homomorphism. For two elements  $x, y \in K^\times$ , and for any  $z \in K$ , we have  $(xy)z = x(yz)$ , so that as maps  $K \rightarrow K$ , we have  $m_{xy} = m_x \circ m_y$ . The determinant is multiplicative with respect to composition of linear maps (that is, matrix multiplication), so

$$N(xy) = \det(m_{xy}) = \det(m_x)\det(m_y) = N(x)N(y),$$

and thus  $N : K^\times \rightarrow \mathbb{Q}^\times$  is a group homomorphism.

3. Let  $\mathcal{E}(K)$  be the set of embeddings of  $K$  in  $\mathbb{C}$ . Show that

$$N(x) = \prod_{\iota \in \mathcal{E}(K)} \iota(x).$$

Solution: Recall that the constant term of the characteristic polynomial of a matrix  $M$  is precisely  $\det(-M) = (-1)^n \det(M)$ , where  $M$  is an  $n \times n$  matrix. By Corollary 2.5.2, for  $x \in K$ , the characteristic polynomial of  $m_x$  is

$$\prod_{\iota \in \mathcal{E}(K)} (X - \iota(x)),$$

so that

$$\begin{aligned} (-1)^n \det(m_x) &= \prod_{\iota \in \mathcal{E}(K)} (-\iota(x)) \\ \Rightarrow \det(m_x) &= \prod_{\iota \in \mathcal{E}(K)} \iota(x), \end{aligned}$$

where the second line follows from the first because  $|\mathcal{E}(K)| = n$ . This completes the proof.

4. Let  $x \in \mathbb{Z}_K$ . Show that  $N(x) \in \mathbb{Z}$ . Show also that  $x$  is a unit in  $\mathbb{Z}_K^\times$  if and only if  $N(x) \in \{-1, 1\}$ .

Solution: Since  $x$  is an algebraic integer, every embedding  $\iota : K \rightarrow \mathbb{C}$  must have the property that  $\iota(x)$  is also an algebraic integer, because  $\iota$  fixes both  $\mathbb{Z}$  and polynomial equations. Thus  $\prod_{\iota \in \mathcal{E}(K)} \iota(x)$  is also an algebraic integer, so  $N(x)$  is an algebraic integer. The norm  $N(x)$  is also the determinant of a matrix with rational coefficients by definition, so  $N(x) \in \mathbb{Q}$  as well. But the only algebraic integers in  $\mathbb{Q}$  are in  $\mathbb{Z}$ , so  $N(x) \in \mathbb{Z}$  whenever  $x \in \mathbb{Z}_K$ .

If  $x$  is a unit in  $\mathbb{Z}_K^\times$ , then there exists  $y \in \mathbb{Z}_K^\times$  with  $xy = 1$ . Thus  $N(x)N(y) = N(xy) = N(1) = 1$ , so the integers  $N(x)$  and  $N(y)$  are invertible and thus  $N(x), N(y) \in \{\pm 1\}$ .

Finally assume that  $x \in \mathbb{Z}_K^\times$  with  $N(x) = \pm 1$ ; we want to show that  $x$  is a unit in  $\mathbb{Z}_K^\times$ . Any  $x$  is a root of its characteristic polynomial; since  $x \in \mathbb{Z}_K^\times$ , this polynomial has integer coefficients. Write

$$f(X) = X^n + a_{n-1}X^{n-1} + \cdots + a_1X + a_0$$

for this polynomial. As we saw in the problem (1.3), the constant term of this polynomial satisfies  $a_0 = \pm N(x)$ , so  $a_0 = \pm 1$ . Then consider

$$g(Y) = \sum_{j=0}^m a_0 a_{m-j} Y^j = a_0 + a_0 a_{m-1} Y + \cdots + a_0 a_1 Y^{m-1} + Y^m,$$

where here we are writing  $a_m := 1$  and noting that  $a_0^2 = 1$ . The polynomial  $g(Y)$  is monic and has integer coefficients, and  $x^{-1}$  is a root of  $Y$ . Thus the element  $y = x^{-1} \in K$  is an algebraic integer, so  $y \in \mathbb{Z}_K$  and thus  $x$  is a unit in  $\mathbb{Z}_K$ .

5. Let  $x \in \mathbb{Z}_K \setminus \{0\}$ . Show that there exists a  $\mathbb{Z}$ -basis  $(e_1, \dots, e_n)$  of  $\mathbb{Z}_K$  and integers  $a_1 \mid a_2 \mid \cdots \mid a_n$  such that

$$x\mathbb{Z}_K = a_1\mathbb{Z}e_1 \oplus \cdots \oplus a_n\mathbb{Z}e_n.$$

(Hint: use the classification of finitely-generated abelian groups.)

Solution: Consider the  $\mathbb{Z}$ -module  $\mathbb{Z}_K/x\mathbb{Z}_K$ . By the classification of finitely-generated abelian groups,

$$\mathbb{Z}_K/x\mathbb{Z}_K \cong \mathbb{Z}^b \oplus (\mathbb{Z}/a_1\mathbb{Z}) \oplus \cdots \oplus (\mathbb{Z}/a_k\mathbb{Z}),$$

where  $a_1|a_2|\cdots|a_k$  are integers.

Note that  $N(x) \in x\mathbb{Z}_K$ , since  $N(x)$  is the constant term of the characteristic polynomial of  $x$ , which has integer coefficients. Thus  $N(x) \in x\mathbb{Z}_K \cap \mathbb{Z}$ , so  $x\mathbb{Z}_K \cap \mathbb{Z}$  is nonempty. For any  $y \in \mathbb{Z}_K$ , this implies that  $N(x)y \in x\mathbb{Z}_K$ , so every element  $\bar{y} \in \mathbb{Z}_K/x\mathbb{Z}_K$  must be a torsion element. Thus  $b = 0$ .

Let  $\bar{e}_i \in \mathbb{Z}_K/x\mathbb{Z}_K$  represent an (arbitrary) generator of the factor  $\mathbb{Z}/a_i\mathbb{Z}$ , and let  $e_i \in \mathbb{Z}_K$  be equivalent to  $\bar{e}_i$  modulo  $x$ . Then  $\{e_1, \dots, e_k\}$  must be  $\mathbb{Z}$ -independent, and  $k \leq n$ . Let  $M$  be the  $\mathbb{Z}$ -submodule of  $\mathbb{Z}_K$  generated by  $e_1, \dots, e_k$ . Note that any  $y \in \mathbb{Z}_K$  with  $y \notin M$  satisfies  $y \in x\mathbb{Z}_K$ .

Assume by contradiction that  $\mathbb{Z}_K/M$  is not free, and let  $y \in \mathbb{Z}_K \setminus M$  and  $m \in \mathbb{Z}_{\geq 2}$  be such that  $y \notin M$  but  $my \in M$ . Since  $y \in x\mathbb{Z}_K$ ,  $my \in M \cap x\mathbb{Z}_K \cong a_1\mathbb{Z}e_1 \oplus \cdots \oplus a_k\mathbb{Z}e_k$ . Write  $my = a_1b_1e_1 + \cdots + a_kb_ke_k$ . Then  $m|a_ib_i$  for all  $i$ , but then  $y = \sum_i \frac{a_ib_i}{m}e_i \in M$ , a contradiction.

Thus  $\mathbb{Z}_K/M$  is free, so  $e_1, \dots, e_k$  can be extended via  $f_1, \dots, f_{n-k}$  to a  $\mathbb{Z}$ -basis of  $\mathbb{Z}_K/M$ . Then

$$\mathbb{Z}_K/x\mathbb{Z}_K = (\mathbb{Z}/\mathbb{Z}) \oplus \cdots \oplus (\mathbb{Z}/\mathbb{Z}) \oplus (\mathbb{Z}/a_1\mathbb{Z}) \oplus (\mathbb{Z}/a_k\mathbb{Z})$$

and

$$x\mathbb{Z}_K = \mathbb{Z}f_1 \oplus \cdots \oplus \mathbb{Z}f_{n-k} \oplus a_1\mathbb{Z}e_1 \oplus \cdots \oplus a_k\mathbb{Z}e_k,$$

where  $1|\cdots|1|a_1|\cdots|a_n$ , as desired.

6. Deduce that for all  $x \in \mathbb{Z}_K$ , we have  $|N(x)| = |x\mathbb{Z}_K|$ , where the right-hand side is the norm of a principal ideal.

Solution: Taking the norm of a principal ideal, we have by the previous question that

$$|x\mathbb{Z}_K| = \prod_{j=1}^n a_j.$$

Let  $\{e_j\}_{j=1}^n$  be the basis described in the previous question. Consider the elements  $f_1, \dots, f_n$  of  $\mathbb{Z}_K$  such that  $xf_j = e_j$  for all  $j$ . Note that the  $f_i$ 's are a  $\mathbb{Q}$ -basis of  $K$ , since multiplication by  $x$  is an invertible map on  $K$ , and thus  $\mathbb{Q}$ - (and thus  $\mathbb{Z}$ -) linearly independent. Moreover, for each  $z \in \mathbb{Z}_K$ , there exist coefficients  $b_i \in \mathbb{Z}_K$  such that

$$xz = b_1a_1e_1 + \cdots + b_na_ne_n = x(b_1f_1 + \cdots + b_nf_n),$$

and thus  $z = b_1f_1 + \cdots + b_nf_n$ , so the  $\mathbb{Z}$ -span of the  $f_i$ 's is  $\mathbb{Z}_K$ . Thus the  $f_i$ 's form a  $\mathbb{Z}$ -basis of  $\mathbb{Z}_K$ . Let  $S$  be the invertible change of basis matrix from  $e_j$  to  $f_j$ ; then written in the basis  $e_j$ , we have

$$m_x S = \begin{bmatrix} \pm a_1 & 0 & \cdots & 0 \\ 0 & \pm a_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \pm a_n \end{bmatrix}$$

$$|N(x)| = |\det(m_x)| = |\det(m_x)| |\det(S)| = |\det(m_x S)| = \prod_{j=1}^n a_j = |x\mathbb{Z}_K|,$$

where we are using that  $|\det(S)| = 1$  by invertability of  $S$ . This completes the argument.

2. A number field  $K$  is said to be *euclidean* (with respect to the norm) if, for any  $x$  and  $y$  in  $\mathbb{Z}_K$ , with  $y \neq 0$ , there exists  $q$  and  $r$  in  $\mathbb{Z}_K$  with  $|N(r)| < |N(y)|$  such that  $x = qy + r$ .

1. Show that if  $K$  is euclidean, then the class group of  $K$  is trivial.

Solution: Let  $I \subset \mathbb{Z}_K$  be an ideal. We would like to show that  $I$  is principal. By the previous problem, for all nonzero  $x \in I$ ,  $N(x) \in \mathbb{Z}$  and  $N(x) \neq -1, 0, 1$  (since if  $N(x) = \pm 1$  then  $I$  contains a unit). Let  $a \in I$  be a nonzero element such that  $|N(a)|$  is minimal. Then  $a\mathbb{Z}_K \subset I$ , so it remains to show that  $I \subset a\mathbb{Z}_K$ . Let  $b \in I$  be an arbitrary nonzero element. Since  $K$  is euclidean, there exist  $q$  and  $r$  with  $b = aq + r$  and  $|N(r)| < |N(a)|$ . But then  $r \in I$ , so by the minimality of  $a$ , we must have  $N(r) = 0$  and thus  $r = 0$ . This implies that  $b = aq$ , and thus  $b \in a\mathbb{Z}_K$ , so we have  $I \subset a\mathbb{Z}_K$ . Thus  $I$  is principal, as desired.

2. Show that  $\mathbb{Q}(\sqrt{2})$  and  $\mathbb{Q}(\sqrt{-2})$  are euclidean.

Solution: For each we provide a euclidean algorithm, that is, an algorithm for producing  $q$  and  $r$ .

Let  $a + b\sqrt{-2}, c + d\sqrt{-2} \in \mathbb{Z}[\sqrt{-2}]$ . Let  $e, f \in \mathbb{Q}$  be such that

$$\frac{a + b\sqrt{-2}}{c + d\sqrt{-2}} = e + f\sqrt{-2}.$$

Now pick  $q, s \in \mathbb{Z}$  such that  $|e - q| \leq 1/2$  and  $|f - s| \leq 1/2$ . Then

$$\begin{aligned} a + b\sqrt{-2} &= (c + d\sqrt{-2})(e + f\sqrt{-2}) \\ &= (c + d\sqrt{-2})(q + s\sqrt{-2} + (e - q) + (f - s)\sqrt{-2}) \\ &= (c + d\sqrt{-2})(q + s\sqrt{-2}) + (c + d\sqrt{-2})((e - q) + (f - s)\sqrt{-2}). \end{aligned}$$

Note that  $(c + d\sqrt{-2})(q + s\sqrt{-2}) \in \mathbb{Z}_K$ , so the second product must be as well. It suffices to show that  $N(c + d\sqrt{-2}) > N((c + d\sqrt{-2})((e - q) + (f - s)\sqrt{-2}))$ . But  $N((e - q) + (f - s)\sqrt{-2}) = (e - q)^2 + 2(f - s)^2 \leq (1/2)^2 + 2(1/2)^2 = 3/4 < 1$ , so by multiplicativity of the norm this inequality must hold. Thus  $q + s\sqrt{-2}$  and  $(c + d\sqrt{-2})((e - q) + (f - s)\sqrt{-2})$  are the desired values.

The argument for  $\mathbb{Z}[\sqrt{2}]$  is nearly identical, with perhaps the one difference being that for  $|e - q| \leq 1/2$  and  $|f - s| \leq 1/2$ , we have

$$|N((e - q) + (f - s)\sqrt{2})| = |(e - q)^2 - 2(f - s)^2| \leq 1/2 < 1.$$

3. Let  $K$  be a euclidean number field. Show that there exists a non-zero element  $\delta \in \mathbb{Z}_K$ , which is not a unit, and has the following property: the restriction to  $\mathbb{Z}_K^\times \cup \{0\}$  of the reduction map modulo  $\delta$  is surjective (i.e., any element of  $\mathbb{Z}_K$  is congruent modulo  $\delta$  to either 0 or a unit of  $\mathbb{Z}_K$ .)

Solution: Define  $\delta \in \mathbb{Z}_K^\times$  to be an element of minimal norm among non-units in  $\mathbb{Z}_K^\times$ . Let  $a \in \mathbb{Z}_K$  be an arbitrary element. Since  $K$  is euclidean there exist  $q, r \in \mathbb{Z}_K$  such that  $a = q\delta + r$  and  $|N(r)| < |N(\delta)|$ . Since  $\delta$  has minimal norm,  $r$  must be either zero or a unit. But this directly implies that  $a$  is congruent modulo  $\delta$  either to zero or to a unit of  $\mathbb{Z}_K$ .

4. Determine all possible choices of the element  $\delta$  of the previous question for  $K = \mathbb{Q}$ , and determine one choice for  $K = \mathbb{Q}(i)$ ?

Solution: First say  $K = \mathbb{Q}$ , so that  $\mathbb{Z}_K = \mathbb{Z}$ . The units of  $\mathbb{Z}$  are  $\pm 1$ , so we would like to find  $\delta$  such that every element of  $\mathbb{Z}/\delta\mathbb{Z}$  is congruent to 0 or  $\pm 1$ . Thus there can be at most 3 elements of  $\mathbb{Z}/\delta\mathbb{Z}$ , and equivalently  $|\delta| \leq 3$ . Since  $\delta$  is not a unit,  $\delta \in \{\pm 2, \pm 3\}$ ; any of these choices work.

Now let  $K = \mathbb{Q}(i + 1)$ . Let  $\delta = 1 + i$ . Then  $(1 + i)\mathbb{Z}[i]$  contains  $1 + i$  as well as  $2 = (1 + i)(1 - i)$  and  $2i = (1 + i)^2$ , so that  $\bar{0}$  and  $\bar{1}$  are a set of representatives of  $\mathbb{Z}[i]/(1 + i)\mathbb{Z}[i]$ , as desired.

5. Deduce that  $\mathbb{Q}(\sqrt{-19})$  and  $\mathbb{Q}(\sqrt{-163})$  are not euclidean. (Hint: determine the units in the corresponding rings of integers.) Note: one can show that both of these fields have trivial class group, so the statement in Question 1 is not an equivalence.

Solution: Start with  $\mathbb{Q}(\sqrt{-19})$ , which has ring of integers  $\mathbb{Z}_{19} = \mathbb{Z}\left[\frac{1 + \sqrt{-19}}{2}\right]$ . The norm of  $a + b\left(\frac{1 + \sqrt{-19}}{2}\right) \in \mathbb{Z}_{19}$  is  $a^2 + ab + 5b^2$ , and by for example the quadratic equation one can see that the only units in  $\mathbb{Z}_{19}$  are  $\pm 1$ .

Assume by contradiction that  $\mathbb{Q}(\sqrt{-19})$  is not euclidean and define  $\delta$  as in part 4. Then  $|\delta\mathbb{Z}_{19}| \leq 3$ , where  $|\delta\mathbb{Z}_{19}|$  is the norm of the ideal, since each congruence class must be represented by  $\pm 1$  or 0. The only possible residue rings of size  $\leq 3$  are modulo primes dividing 2 and 3, but since  $-19 \equiv 1 \pmod{4}$ , 2 is inert in  $\mathbb{Q}(\sqrt{-19})$ . Also,  $-19 \equiv 2 \pmod{3}$  and thus  $\left(\frac{-19}{3}\right) = -1$ , so 3 is also inert in  $\mathbb{Z}_{19}$ .

The argument for  $\mathbb{Q}(\sqrt{163})$  is nearly identical, so we omit it.

3. Prove that any prime number  $p$  such that  $p \equiv 1 \pmod{8}$  or  $p \equiv 7 \pmod{8}$  is of the form  $a^2 - 2b^2$ , where  $a$  and  $b$  are integers. Show that there are infinitely many such representations. (Hint: use the field  $\mathbb{Q}(\sqrt{2})$ .)

Solution: Let  $p$  be a prime congruent to 1 or 7 mod 8. Then (for example by exercise sheet 2, problem 2.4) the Legendre symbol  $\left(\frac{2}{p}\right) = 1$ . By example 2.7.5,  $\mathfrak{p}$  is unramified and totally split in  $\mathbb{Q}(\sqrt{2})$ . Let  $\mathfrak{p} = \mathfrak{p}_1\mathfrak{p}_2$  as ideals in  $\mathbb{Z}[\sqrt{2}]$ . Since  $\mathbb{Z}[\sqrt{2}]$  has class number 1 (for example because it is euclidean), there exists a generator  $\pi_1$  of  $\mathfrak{p}_1$ , which has norm  $p$ . Then for some  $a_0, b_0 \in \mathbb{Z}$ ,  $\pi_1 = a_0 + b_0\sqrt{2}$ . Since  $\pi_1$  is a generator,  $|N(\pi_1)| = |\pi_1\mathbb{Z}[\sqrt{2}]| = p$ , so  $a_0^2 - 2b_0^2 = \pm p$ .

Let  $u$  be a fundamental unit of  $\mathbb{Z}[\sqrt{2}]$  (say  $u = 1 + \sqrt{2}$ ), and note that  $N(u) = -1$ . For all  $n \in \mathbb{N}$ ,  $u^n \pi_1$  represent pairwise distinct elements of  $\mathbb{Z}[\sqrt{2}]$ , so if  $u^n \pi_1 = a_n + b_n \sqrt{2}$ , we have  $(a_i, b_i) \neq (a_j, b_j)$  for all  $i \neq j$ . But  $N(u^n \pi_1) = a_n^2 - 2b_n^2 = (-1)^n N(\pi_1)$ , so either odd values or even values of  $n$  furnish infinitely many solutions to  $a^2 - 2b^2 = p$ .

4. Let  $d$  be a squarefree positive integer such that  $-d \not\equiv 1 \pmod{4}$ . Assume that  $d$  is not a prime number. The goal of this exercise is to prove that the class group of  $K = \mathbb{Q}(\sqrt{-d}) = \mathbb{Q}(i\sqrt{d})$  is not trivial.

1. Prove that there exist integers  $a, b$  with  $1 < a < b$  such that  $d = ab$ .

Solution: Since  $d$  is not prime,  $d$  admits a factorization  $d = ab$  where  $a$  and  $b$  are nonunits, so we can assume that  $1 < a$  and  $1 < b$ . Assume without loss of generality that  $a \leq b$ . If  $a = b$ , then  $d = a^2$ , which contradicts  $d$  being squarefree, so  $a < b$  as desired.

2. Let  $u$  and  $v \neq 0$  be integers. Show that any element of  $(u + v\sqrt{-d})\mathbb{Z}_K$  has norm  $\geq d$ .

Solution: The norm of  $x + y\sqrt{d} \in \mathbb{Z}_K$  is  $x^2 + dy^2$ , which is always nonnegative and in fact  $\geq 1$  for  $x$  and  $y$  not both zero. If  $v \neq 0$ , then  $v^2 \geq 1$ . Thus for any  $x \in \mathbb{Z}_K$  nonzero,

$$N((u + v\sqrt{-d})x) \geq N(u + v\sqrt{-d})N(x) \geq u^2 + dv^2 \geq dv^2 \geq d,$$

as desired.

3. Prove that the ideal generated by  $a$  and  $i\sqrt{d}$  in  $\mathbb{Z}_K$  is not principal.

Solution: Let  $I$  be the ideal generated by  $a$  and  $i\sqrt{d}$ . Note that  $1 \notin I$ , since for any  $x + iy\sqrt{d}, z + iw\sqrt{d} \in \mathbb{Z}_K$ ,

$$\begin{aligned} 1 &= (x + iy\sqrt{d})a + (z + iw\sqrt{d})i\sqrt{d} \Leftrightarrow 1 = (ax - wd) + (ay + z)i\sqrt{d} \\ &\Leftrightarrow \begin{cases} ax - wd &= 1 \\ ay + z &= 0. \end{cases} \end{aligned}$$

But  $ax - wd = a(x - wb) \neq 1$  since  $a > 1$ , so this is impossible.

We now show that  $I$  is not principal. Assume by contradiction that  $I = (x + iy\sqrt{d})\mathbb{Z}_K$ . Then  $|N(x + iy\sqrt{d})| = |I|$ , which must divide  $|N(a)| = a^2$  and  $|N(i\sqrt{d})| = d$ . Since  $d$  is squarefree,  $\gcd(a, b) = 1$ , and  $\gcd(a^2, d) = a$ . Thus  $|N(x + iy\sqrt{d})|$  divides  $a < d$ . By part 2, this implies that  $y = 0$ ; otherwise  $|N(x + iy\sqrt{d})| \geq d$ . Thus  $I = x\mathbb{Z}_K$  with  $x \in \mathbb{Z}$ . Since  $i\sqrt{d} \in I$ , this implies that  $x = 1$  and  $I = \mathbb{Z}_K$ , a contradiction.

5. The goal of this exercise is to prove that the Fermat equation  $x^3 + y^3 = z^3$  has no integral solution with  $xyz \neq 0$ , which was first proved by Euler. This is a fairly long exercise – the more interesting part start at Question 3, and the first two questions may be assumed without proof.

We denote  $\omega = e^{2i\pi/3} = (-1 + i\sqrt{3})/2$  and  $K = \mathbb{Q}(\sqrt{-3}) = \mathbb{Q}(\omega)$ . We have  $\mathbb{Z}_K = \mathbb{Z}[\omega]$ .

We consider the equation

$$x^3 + y^3 = uz^3 \quad (1)$$

where  $u \in \mathbb{Z}_K^\times$  is a parameter and the unknowns  $(x, y, z)$  are in  $\mathbb{Z}_K$ .

1. Show that  $\mathbb{Z}_K$  is a euclidean domain and that  $\mathbb{Z}_K^\times = \{-1, 1, \omega, \omega^2, -\omega, -\omega^2\}$ .

Solution: Note that for  $a, b \in \mathbb{Z}$ ,  $N(a + b\omega) = (a + b\omega)(a + b\bar{\omega}) = a^2 - ab + b^2$ .

We now show that  $\mathbb{Z}_K$  is euclidean; this argument is very similar to problem 2.2.

For  $a + b\omega, c + d\omega \in \mathbb{Z}_K$ , let  $e, f \in \mathbb{Q}$  such that

$$\frac{a + b\omega}{c + d\omega} = e + f\omega.$$

Let  $q, s \in \mathbb{Z}$  such that  $|e - q| \leq \frac{1}{2}$  and  $|f - s| \leq \frac{1}{2}$ , and consider the elements  $\varkappa = q + s\omega \in \mathbb{Z}_K$  and  $\varrho = a + b\omega - \varkappa(c + d\omega) \in \mathbb{Z}_K$ . The elements  $\varkappa$  and  $\varrho$  satisfy the constraints on  $q$  and  $r$  respectively if we can show that  $|N(\varrho)| < |N(c + d\omega)|$ . But

$$\begin{aligned} |N(\varrho)| &= |N(c + d\omega)| |N\left(\frac{a+b\omega}{c+d\omega} - \varkappa\right)| \\ &= |N(c + d\omega)| |N((e - q) + (f - s)\omega)| \\ &= |N(c + d\omega)| |(e - q)^2 - (e - q)(f - s) + (f - s)^2| \\ &\leq \frac{3}{4} |N(c + d\omega)| < |N(c + d\omega)|. \end{aligned}$$

By problem 1.4,  $a + b\omega \in \mathbb{Z}_K$  is a unit if and only if  $N(a + b\omega) = \pm 1$ , which happens if and only if  $a^2 - ab + b^2 = \pm 1$ . If  $a^2 - ab + b^2 = 1$ , then

$$a = \frac{b \pm \sqrt{b^2 - 4(b^2 - 1)}}{2} = \frac{b \pm \sqrt{4 - 3b^2}}{2}.$$

This has (real) integer solutions only if  $b = 0$  or  $b = \pm 1$ . If  $b = 0$ , then  $a = \pm 1$ , corresponding to the units  $\pm 1$ ; if  $b = 1$ , then  $a = 0$  or  $a = 1$ , corresponding to the units  $\omega$  and  $1 + \omega = -\omega^2$  respectively; and if  $b = -1$ , then  $a = -1$  or  $a = 0$ , corresponding to the units  $-1 - \omega = \omega^2$  and  $-\omega$  respectively.

2. Let  $\lambda = 1 - \omega$ . Show that  $\lambda\mathbb{Z}_K$  is a prime ideal with norm 3. In particular, the field  $\mathbb{Z}_K/\lambda\mathbb{Z}_K$  is isomorphic to  $\mathbb{Z}/3\mathbb{Z}$ . We denote by  $v$  the  $\lambda$ -adic valuation on (non-zero) ideals.

Solution: First note that  $\omega \equiv 1 \pmod{\lambda}$ , and thus

$$3 \equiv 1 + 2\omega \equiv 1 + \omega + \omega^2 = 0 \pmod{\lambda}.$$

Then for any  $a, b \in \mathbb{Z}$ ,

$$a + b\omega \equiv a + b \pmod{\lambda},$$

and thus by combining both of these we get that  $a + b\omega$  modulo  $\lambda$  is given by the value of  $a + b$  modulo 3. Thus  $\mathbb{Z}_K/\lambda\mathbb{Z}_K$  is either trivial or isomorphic to  $\mathbb{Z}/3\mathbb{Z}$ . Since  $N(\lambda) = N(1 - \omega) = 1 + 1 + 1 = 3$ ,  $\mathbb{Z}_K/\lambda\mathbb{Z}_K$  is isomorphic to  $\mathbb{Z}/3\mathbb{Z}$ . Since this is a domain,  $\lambda\mathbb{Z}_K$  must be prime.

3. Show that if  $x \in \mathbb{Z}_K$  satisfies  $x \equiv 1 \pmod{\lambda}$ , then  $x^3 \equiv 1 \pmod{\lambda^4}$ . (Hint: write  $x^3 - 1 = (x - 1)(x - \omega)(x - \omega^2)$  and use the fact that  $\omega^2 \equiv 1 \pmod{\lambda}$ .)

Solution: Since  $x \equiv 1 \pmod{\lambda}$ , we also have  $x \equiv \omega$  and  $x \equiv \omega^2 \pmod{\lambda}$ , so  $\lambda$  divides each of  $(x - 1)$ ,  $(x - \omega)$ , and  $(x - \omega^2)$ .

Now note that  $\frac{x-1}{\lambda} + 1 = \frac{x-1+\lambda}{\lambda} = \frac{x-\omega}{\lambda}$ , and similarly  $\frac{x-\omega}{\lambda} + 1 = \frac{x-\omega^2}{\lambda}$ . Thus the three values  $\frac{x-1}{\lambda}$ ,  $\frac{x-\omega}{\lambda}$ , and  $\frac{x-\omega^2}{\lambda} \in \mathbb{Z}_K$  must represent the three different elements of  $\mathbb{Z}_K/\lambda\mathbb{Z}_K$ , so one of these three values is divisible by  $\lambda$ . Equivalently, one of  $x - 1$ ,  $x - \omega$ , and  $x - \omega^2$  is divisible by  $\lambda^2$ , so there are at least four factors of  $\lambda$  dividing  $(x - 1)(x - \omega)(x - \omega^2) = x^3 - 1$ . Thus  $x^3 \equiv 1 \pmod{\lambda^4}$ .

4. Show that (1) has no solution with  $\lambda$  not dividing  $xyz$ . (Hint: reduce modulo  $\lambda$  and check cases.)

Solution: Note that the same argument in the previous part with the polynomial  $x^3 + 1 = (x + 1)(x + \omega)(x + \omega^2)$  shows that if  $x \equiv -1 \pmod{\lambda}$ , then  $x^3 \equiv -1 \pmod{\lambda^4}$ .

Assume by contradiction that  $x, y, z$  satisfy (1) and  $\lambda$  does not divide  $xyz$ . Then  $x, y, z$  are all nonzero mod  $\lambda$ . By multiplying  $x, y$ , and  $z$  by  $-1$  if necessary, we can assume that  $x \equiv 1 \pmod{\lambda}$ .

Assume first that  $y \equiv -1 \pmod{\lambda}$ . Then

$$uz^3 \equiv x^3 + y^3 \equiv 1 - 1 \equiv 0 \pmod{\lambda^4},$$

so by multiplying both sides by  $u^{-1}$  we get  $z^3 \equiv 0 \pmod{\lambda^4}$ , and thus  $\lambda|z$ , so  $\lambda|xyz$ , a contradiction.

Now assume that  $y \equiv 1 \pmod{\lambda}$ . Then  $x^3 + y^3 \equiv 2 \pmod{\lambda^4}$ . We also know that  $z \equiv \pm 1 \pmod{\lambda}$ , and thus  $z^3 \equiv \pm 1 \pmod{\lambda^4}$ , so  $2 \equiv \pm u \pmod{\lambda^4}$  or equivalently  $\lambda^4|(2 \pm u)$ . But this is impossible; for example note that  $N(\lambda^4) = N(\lambda)^4 = 81$ , whereas  $N(2 \pm u) \in \{1, 3, 7, 9\}$  for the units in  $\mathbb{Z}_K$ .

5. Let  $(x, y, z)$  be a solution of (1) for a given  $u \in \mathbb{Z}_K^\times$  with  $v(xy) = 0$ . Show that  $v(z) \geq 2$ . (Hint: use the previous question and reduce modulo  $\lambda^2$ .)

Solution: From the previous question, we can assume that  $x \equiv 1 \pmod{\lambda}$ , and the case when  $y \equiv 1 \pmod{\lambda}$  is impossible, so  $y \equiv -1 \pmod{\lambda}$ . Then as before this implies that  $z^3 \equiv 0 \pmod{\lambda^4}$ . Thus  $3v(z) \geq 4$ , so  $v(z) \geq 2$ .

6. We fix from now on a solution  $(x, y, z)$  of (1) for a given  $u \in \mathbb{Z}_K^\times$  with  $v(xy) = 0$  and  $x$  coprime to  $y$ . Show that one of  $x + y$ ,  $x + \omega y$  or  $x + \omega^2 y$  has  $\lambda$ -valuation  $\geq 2$ , and that one may assume that  $x + y$  has this property, which we consider to be the case from now on.

Solution: As before, we know that

$$\begin{aligned} x^3 + y^3 &\equiv 0 \pmod{\lambda^4} \\ \Rightarrow (x + y)(x + \omega y)(x + \omega^2 y) &\equiv 0 \pmod{\lambda^4} \\ \Rightarrow v(x + y) + v(x + \omega y) + v(x + \omega^2 y) &\geq 4. \end{aligned}$$

Thus at least one of the three must be  $\geq 2$ .



Note that we can always replace  $y$  by  $\omega y$  or  $\omega^2 y$ , and the triple  $(x, y, z)$  is a solution of (1) if and only if  $(x, \omega y, z)$  and  $(x, \omega^2 y, z)$  are, because  $y^3 = (\omega y)^3 = (\omega^2 y)^3$ . This substitution permutes transitively the values  $x + y$ ,  $x + \omega y$ , and  $x + \omega^2 y$ , so we can always fix  $(x, y, z)$  satisfying this question and such that  $v(x + y) \geq 2$ .

7. Show then that  $v(x + \omega y) = v(x + \omega^2 y) = 1$  and that  $v(x + y) = 3v(z) - 2$ .

Solution: Since

$$x + \omega y = x + y + \lambda y,$$

we can reduce modulo  $\lambda^2$  to get

$$x + \omega y \equiv \lambda y \pmod{\lambda^2}.$$

Since  $y \equiv \pm 1 \not\equiv 0 \pmod{\lambda}$ ,  $\lambda y \not\equiv 0 \pmod{\lambda^2}$ . Thus  $\lambda | (x + \omega y)$  but  $\lambda^2 \nmid (x + \omega y)$ , so  $v(x + \omega y) = 1$ . By the same argument with  $-\lambda y$  in place of  $+\lambda y$  we get that  $v(x + \omega^2 y) = 1$ .

Since  $(x, y, z)$  are a solution to (1), we have

$$\begin{aligned} x^3 + y^3 &= uz^3 \\ \Rightarrow (x + y)(x + \omega y)(x + \omega^2 y) &= uz^3 \\ \Rightarrow v(x + y) + v(x + \omega y) + v(x + \omega^2 y) &= v(u) + 3v(z). \end{aligned}$$

Since  $\lambda$  is prime and  $u$  is a unit,  $v(u) = 0$ . Then

$$\begin{aligned} \Rightarrow v(x + y) + 2 &= 3v(z) \\ \Rightarrow v(x + y) &= 3v(z) - 2, \end{aligned}$$

as desired.

8. Show that  $\gcd(x + y, x + \omega y) = \gcd(x + y, x + \omega^2 y) = \gcd(x + \omega y, x + \omega^2 y) = \lambda \mathbb{Z}_K$  (where the gcds are in the sense of ideals).

Solution: Let  $\pi$  be any irreducible with  $(\pi) \neq (\lambda)$ . Assume by contradiction that  $\pi | (x + y)$  and  $\pi | (x + \omega y)$ . Then  $\pi | (1 - \omega)y = \lambda y$ , and similarly  $\pi | (x + \omega y - \omega(x + y)) = (1 - \omega)x = \lambda x$ , so since  $(\pi) \neq (\lambda)$  we have  $\pi | y$  and  $\pi | x$ . But  $x$  is coprime to  $y$ , a contradiction.

Since  $v(x + y)$ ,  $v(x + \omega y)$ , and  $v(x + \omega^2 y)$  are all  $\geq 1$ , all of these gcds must be contained in  $\lambda \mathbb{Z}_K$  but not in  $\lambda^2 \mathbb{Z}_K$ ; thus they are all  $\lambda \mathbb{Z}_K$ .

9. Deduce that there exist units  $(\xi, \eta, \vartheta)$  and elements  $(a, b, c)$  of  $\mathbb{Z}_K$ , each coprime to  $\lambda$ , such that

$$\xi a^3 \lambda^{v(x+y)} + \omega \eta b^3 \lambda + \omega^2 \vartheta c^3 \lambda = 0.$$

(Hint: use unique factorization in  $\mathbb{Z}_K$  and combine the resulting expressions for  $x + y$ ,  $x + \omega y$ ,  $x + \omega^2 y$ .)

Solution: Since the three factors of  $x^3 + y^3 (= uz^3)$  share no prime factors apart from  $\lambda$ , but the product is a cube, each prime appearing in the prime factorization of each of  $(x + y)$ ,  $(x + \omega y)$ , and  $(x + \omega^2 y)$  must appear to a cubic power. By unique

factorization, there must therefore exist units  $\xi, \eta$ , and  $\vartheta$  and elements  $a, b, c \in \mathbb{Z}_K$  coprime to  $\lambda$  such that

$$\begin{aligned}x + y &= \xi a^3 \lambda^{v(x+y)}, \\x + \omega y &= \eta b^3 \lambda, \\x + \omega^2 y &= \vartheta c^3 \lambda.\end{aligned}$$

Thus

$$\begin{aligned}\xi a^3 \lambda^{v(x+y)} + \omega \eta b^3 \lambda + \omega^2 \vartheta c^3 \lambda &= (x + y) + \omega(x + \omega y) + \omega^2(x + \omega^2 y) \\&= (1 + \omega + \omega^2)x + (1 + \omega^2 + \omega)y \\&= 0,\end{aligned}$$

as desired.

10. Deduce that there exist units  $\epsilon$  and  $\epsilon'$  and elements  $r, s$  and  $t \in \mathbb{Z}_K$  such that

$$r^3 + \epsilon s^3 = \epsilon' t^3$$

and  $v(t) = v(z) - 1$ .

Solution: We can divide the previous equation by  $\lambda$  and do some algebraic manipulations, recalling that  $v(x + y) = 3v(z) - 2$ , to get

$$\begin{aligned}\xi a^3 \lambda^{v(x+y)-1} + \omega \eta b^3 + \omega^2 \vartheta c^3 &= 0 \\ \Rightarrow \omega \eta b^3 + \omega^2 \vartheta c^3 &= -\xi a^3 \lambda^{3(v(z)-1)} \\ \Rightarrow b^3 + \omega \eta^{-1} \vartheta c^3 &= -\omega^2 \eta^{-1} \xi (a \lambda^{v(z)-1})^3.\end{aligned}$$

Choosing  $r = b, s = c, t = a \lambda^{v(z)-1}$ , and  $\epsilon = \omega \eta^{-1} \vartheta$  and  $\epsilon' = -\omega^2 \eta^{-1} \xi$ , satisfies the constraint. Note that  $a$  and  $\lambda$  are relatively prime, so that  $v(t) = v(\lambda^{v(z)-1}) = v(z) - 1$ .

11. Show that  $\epsilon \in \{-1, 1\}$  and deduce that there is a solution  $(x', y', z')$  of (1), possibly for a different unit than  $u$ , with  $v(z') = v(z) - 1$ .

Solution: Since  $r = a$  and  $s = c$  are relatively prime to  $\lambda$ , we must have  $r = \pm 1 \pmod{\lambda}$  and thus  $r^3 = \pm 1 \pmod{\lambda^4}$ , and the same for  $s$ . Also,  $v(z) \geq 2$ , so  $v(t) \geq 1$  and  $v(t^3) > 2$ . Thus

$$\begin{aligned}r^3 + \epsilon s^3 &\equiv 0 \pmod{\lambda^2} \\ \Rightarrow \pm 1 \pm \epsilon &\equiv 0 \pmod{\lambda^2},\end{aligned}$$

so that  $\lambda^2 | (\epsilon \pm 1)$ . By looking at the set of units individually and, for example, comparing norms, one can see that this is only possible when  $\epsilon \pm 1 = 0$ , or when  $\epsilon = \pm 1$ .

If  $\epsilon = \pm 1$  then  $\epsilon = \epsilon^3$ , so by choosing  $x' = r, y' = \epsilon s$ , and  $z' = t$ , we get a different solution of (1), possibly for a different unit than  $u$ , with  $v(z') = v(z) - 1$ .

12. Conclude that (1), and the Fermat equation with exponent 3, have no solutions with  $xyz \neq 0$ . (This method of proof is known as *infinite descent*, and has its origin in the proof by Fermat himself that the equation for exponent 4 has no solution, which is easier as it does not require any algebraic number theory.)

Solution: Note that shared factors of  $x$  and  $y$  must also be shared by  $z$  and thus can be divided out, so it suffices to consider solutions with  $x$  and  $y$  relatively prime.

We can also assume without loss of generality that  $v(xy) = 0$ ; if say  $\lambda|x$ , then  $x^3 = (-y)^3 + uz^3$ , and by the same argument in part 11 we have  $u = \pm 1$ , so we have a new solution  $(-y, \pm z, x)$  where  $\lambda$  does not divide either of the first two coordinates.

We showed in part 5 that  $v(z) \geq 2$  for any such solution, so there exists a minimum attained value of  $v(z)$  among these solutions. But we have also shown that a solution  $(x', y', z')$  exists with  $v(z') < v(z)$ , a contradiction.

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